

WS-I RSP WG Usage Scenarios

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The RSP WG has decided to approach defining requirements for the RSP profile in terms of realistic and detailed use cases, called usage scenarios.

This document describes these usage scenarios. These scenarios will serve as detailed input for the profiling work, providing evidence of potential interoperability issues and/or need for best practice guidelines.

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1 Introduction

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1.1 Status of this Document

This document is an Editors Draft; it has not yet been accepted by the Working Group as reflecting the current state of discussions. It is a work in progress, and should not be considered authoritative or final. Other documents may supersede this document.

This document will be updated from time to time to incorporate new usage scenarios as they are identified.

1.2 Role of this Document

The usage scenarios in this document do not represent exhaustive ways to combine the specifications targeted for the RSP profile, but only those ways that seem to exhibit interoperability issues or that need guidance.

The usage scenarios in this document represent input material candidate for profiling, and should not be interpreted as best practices for integrating the specifications targeted for the RSP profile. The RSP profile may actually restrict them, or propose better alternatives.

Other patterns of usage that do not fit in these scenarios are legitimate as long as the final RSP does not preclude them. Conversely, some of these scenarios or their options, may later be precluded by RSP.

1.3 Properties of Usage Scenarios

A Usage Scenario is illustrative of real usage conditions, and of the rationale behind them. It describes assumed or possible environmental constraints, e.g. addressing, security, and reliability.

A Usage scenario details all contextual exchanges needed to enable it end-toend (establishment of security context, or reliability sequences) and related options.

1.4 Artifacts and Specifications Coverage

The usage scenarios in this document involve the following Web services artifacts and specifications, subject to profiling, either individually or in composition:

Specifications:

- WS-I Basic Profile 1.2
- WS-I Basic Profile 2.0
- WS-I Basic Security Profile 1.0
- WS-I Basic Security Profile 1.1

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112	•	WS-ReliableMessaging 1.2
113	•	WS-SecureConversation 1.4
114	•	WS-MakeConnection 1.1

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115	2 Definitions
116 117	The following terms will be used throughout this document to refer to the various factors that make up individual scenarios.
118 119	Addressable client: A client that is capable of accepting connections on a network endpoint.
120	Anonymous client: A client that does not accept incoming connections.
121	Application Traffic Message: A SOAP message containing application data.
122 123 124 125 126 127	Asynchronous request-response message exchange: A SOAP message exchange in which a requester sends a SOAP message to a service and receives a response message. "Asynchronous" in this context refers to the manner in which the underlying transport protocol is used to carry the request and response messages. The response message is sent over a separate connection to the requester (a "callback").
128 129	Message Exchange Unit: A unit representing a coherent atomic exchange of elements (and related messages).
130 131	One-way message: An application SOAP message for which no application SOAP response is expected.
132 133	Reliable messaging: The act of sending SOAP messages using the WS-ReliableMessaging 1.1 protocol.
134 135	Reliable message: A message sent reliably using the WS-ReliableMessaging 1.1 protocol.
136 137	Request message: An application SOAP message for which an application SOAP response is expected.
138 139	Response message: An application SOAP message triggered by a request message.
140 141 142 143 144	Secure messaging: In the general sense this term refers to the act of sending a message with one or more of the following security qualities: integrity, confidentiality, and authenticity. For the purposes of this document it is assumed that these attributes will be provided through the use of either SSL/TLS or WS-SecureConversation 1.3.
145 146 147 148	Sequence Lifecycle Message: A message that contains one of: CreateSequence, CreateSequenceResponse, CloseSequence, CloseSequenceResponse, TerminateSequence, TerminateSequenceResponse as the child element of the SOAP body element.
149	Sequence Traffic Message: A message containing a Sequence header block.
150 151 152	Synchronous request-response message exchange: A SOAP message exchange in which a requester sends a SOAP message to a service and receives a response message. "Synchronous" in this context refers to the way in which the

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underlying transport protocol used to carry the request and response messages. The response message is returned on the back channel of the request message.

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3 Conventions in Defining Scenarios

A scenario may be viewed under different perspectives, which will be captured and represented differently in this document.

These main perspective lines are:

- Overall description and usage rationale.
- Sequence diagram describing the messages choreographies. These will show flow diagrams, where solid lines represent requests over an underlying protocol, and dashed lines represent responses sent back over the back-channel offered by the request.
- Constraints and assumptions underlying to the entire scenario (e.g. addressing constraints of one of the endpoints)

In addition, the message choreography as reported in the activity diagram can be decomposed as a sequence of *message exchange units*, a unit representing a coherent atomic exchange of elements (and related messages) such as CreateSequence/ CreateSequenceResponse, or AckRequested /SequenceAcknowledgement, or yet an exchange of a SecurityContextToken element.

The scenario definition introduces a description of how each one of these units of message exchanges, is carried out. This is done in form of a table that shows various dimensions or aspects of the execution of such a unit. The general layout for each instance of such a table is as follows:

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Scenario Message Exchange Unit(s)	Aspects of the Message Exchange Unit	Message Details
(example: RM protocol CreateSequence/ CreateSequenceR esponse)	Addressing and correlation	The following are examples of addressing information whose values may be called out or be specified for specific legs of an exchange. • wsa:ReplyTo • wsa:RelatesTo • wsa:To
	Underlying protocol binding and connection establishment	 Underlying MEP being used and how (HTTP) Any reliance on connection establishment (e.g. MakeConnection)

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Scenario Message Exchange Unit(s)	Aspects of the Message Exchange Unit	Message Details
	Piggybacking	(patterns allowed by the scenario)
	Security	(may be relevant or not depending on the scenario)
	Error handling	(content details and addressing aspects)

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179 4 Reliable One-way (ROW)

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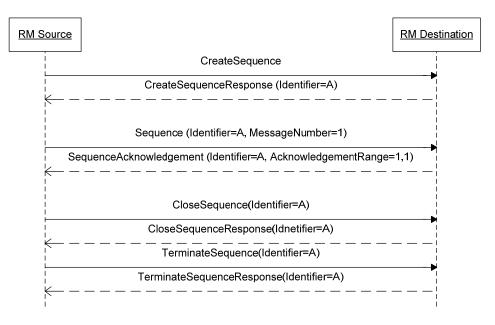
4.1 <u>Description</u>

- Scenario summary: Reliable One-way Exchange, where the client endpoint is addressable. The initiator (requestor) is called the Client, the other endpoint the Service.
- Use Case: The most common use case is of a client that initiates a request to a service for which no response is expected. The message is sent reliably. The client is addressable, and both parties decide to NOT make use of the underlying protocol back channel for any response to the client. Secure conversation may be used.

190 4.2 Sequence Diagram

- The complete scenario includes the following exchanges. The following diagram does not illustrate any optional underlying protocol back-channel use:
- [optional] Secure Conversation Establishment and Cancelation
- Reliable Sequence establishment (CS/CSR)
- Application reliable exchange (1 instance of One-way message)
- Acknowledgement exchanges (either after this message, or later a consolidated
 Ack)
- 198 [optional] Sequence Closing
- 199 Sequence Termination

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Figure 1 - Reliable One-way

4.3 <u>Scenario Constraints and Assumptions</u>

No addressing constraints for either client or service endpoints.

Assumptions:

 In this usage scenario the client assumes the service endpoint has a preference for issuing any responses as new requests over the underlying protocol.

Scenario Constraints:

• There are no specific constraints in this scenario. Both endpoints are addressable.

Description:

If WSDL is used then there must be no out messages defined.

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4.4 Message Exchanges Details

4.4.1 Sequence Lifecycle Messages

Scenario	Aspects of the	Message Details
Message	Message	-
Exchange Unit(s)	Exchange Unit	

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Scenario Message Exchange Unit(s)	Aspects of the Message Exchange Unit	Message Details
 Sequence establishment (CS/CSR) Sequence closing (optional) 	Addressing and correlation	 Wsa:ReplyTo: (on CS / CIS / TS) client endpoint reference Wsa:RelatesTo: (expected on CSR / CISR / TSR, relates to request) Wsa:To
(CIS/CISR)Sequence termination (TS/TSR)	Underlying protocol binding and connection establishment	 Two (HTTP) requests in opposite directions. Endpoints involved in exchange must be prepared for new HTTP connection
	Piggybacking	Not applicable. Additional SOAP headers may be present.
	Security	Message level security: Optional following guidelines from WS-RM sections 5 and 6.
	Error handling	WS-Addressing rules apply in handling faults.

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4.4.2 Sequence Traffic Messages

Note that there are no differences in Sequence Traffic messages for an addressable and anonymous client.

Scenario Message Exchange Unit	Aspect of the Message Exchange Unit	Message Details
Application Message exchange:	Addressing and correlation	• wsa:To
	Underlying	Underlying request (HTTP)
A One-way message	protocol binding and connection	No application message on HTTP response, though possibly SOAP envelope with a Fault.
(as defined in	establishment	though possibly don't envelope with a radit.
terminology)	Piggybacking	Not Applicable.
	Security	Message level security: Optional, RM headers must follow guidelines from WS-RM sections 5 and 6 if the sequence is protected.
	Error handling	WS-Addressing rules apply in handling faults.

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4.4.3 Acknowledgment Messages

Scenario Message Exchange Unit	Aspect of the Message Exchange Unit	Message Details
Acknowledge ments driven by either (a)	Addressing and correlation	wsrm:AcksTo EPR: client endpoint reference
spontaneous new requests as determined by Ack policy, or (b) in	Underlying protocol binding and connection establishment Piggybacking	 For AckRequested: Underlying protocol request (HTTP) or AcksTo EPR. For Acks: Sent to AcksTo EPR per WS-RM processing rules For AckRequested: can be piggybacked on
response to AckRequested messages	riggyodoking	 application one-ways, or sent separately. For Acks: possibly over SOAP requests containing application messages sent to client endpoint.
	Security	If the sequence is protected then acknowledgements must be secured per the rules in WS-RM sections 5 and 6.
	Error handling	WS-Addressing rules apply in handling faults.

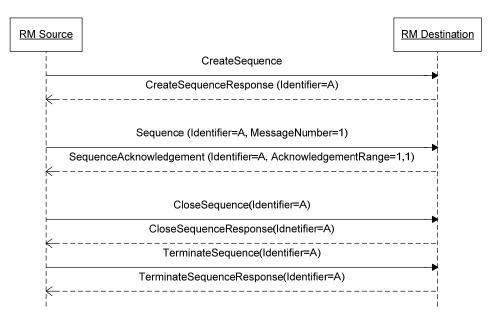
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222 5 Reliable One-way, anonymous client (ROW-anon) 223 5.1 <u>Description</u> 224 225 Scenario summary: Reliable One-way Exchange, with the use of an anonymous 226 client endpoint. The initiator (requestor) is called the Client and is anonymous, 227 the other endpoint the Service. 228 Use Case: The most common use case is of a client that initiates a request to a 229 service for which no response is expected. The message is sent reliably. The client is addressable, and both parties decide to make use of the underlying 230 231 protocol back-channel for all responses to client. Secure conversation may be 232 used. 233 5.2 Sequence Diagram 234 The complete scenario includes the following exchanges. Every response uses 235 the underlying protocol back channel: 236 [optional] Secure Conversation Establishment and Cancelation 237 Reliable Sequence establishment (CS/CSR) 238 Application reliable exchange (1 instance of One-way message) 239 Acknowledgement exchanges (either after this message, or later a consolidated 240 Ack) [optional] Sequence Closing 241 242 Sequence Termination

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Figure 2 - Reliable One-way, anonymous client

5.3 <u>Scenario Constraints and Assumptions</u>

No addressing constraints for either client or service endpoints.

Assumptions:

• In this usage scenario, client assumes the service endpoint has a preference for not issuing requests back to it and will use the back channel for all its responses.

Scenario Constraints:

• There are no specific constraints in this scenario.

Description:

If WSDL is used then there must be no out messages defined.

255 5.4 Message Exchanges Details

5.4.1 Sequence Lifecycle Messages

Scenario	Aspects of the	Message Details
Message	Message	
Exchange Unit(s)	Exchange Unit	

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Scenario Message Exchange Unit(s)	Aspects of the Message Exchange Unit	Message Details
 Sequence establishment (CS/CSR) Sequence closing (optional) (CIS/CISR) 	Addressing and correlation	 [optional] Wsa:ReplyTo: (on CS / CIS / TS) anonymous wsa:RelatesTo: (expected on CSR / CISR / TSR, relates to request) wsa:To wsa:Action
Sequence termination (TS/TSR)	Underlying protocol binding and connection establishment	Single (HTTP) request-reply MEP
	Piggybacking	Not applicable. Additional SOAP headers may be present.
	Security	Message level security: Optional following guidelines from WS-RM sections 5 and 6.
	Error handling	WS-Addressing rules apply in handling faults.

257 *5.4.2 Sequence Traffic Messages*

258 259 Note that there are no differences in Sequence Traffic messages for an addressable and anonymous client.

Scenario Message Exchange Unit	Aspect of the Message Exchange Unit	Message Details
Application Message exchange :	Addressing and correlation	wsa:To
A One-way	Underlying	Underlying request (HTTP)
message	protocol binding and connection	No application message on HTTP response,
(as defined in terminology)	establishment	though possibly SOAP envelope with RM headers, or a Fault.
	Piggybacking	Not Applicable.
	Security	Message level security: Optional, RM headers must follow guidelines from WS-RM sections 5 and 6 if the sequence is protected.
	Error handling	WS-Addressing rules apply in handling faults.

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260 *5.4.3 Acknowledgement Messages*

Scenario Message Exchange Unit	Aspect of the Message Exchange Unit	Message Details
Acknowledge ments driven	Addressing and correlation	wsrm:AcksTo EPR: anonymous
by either (a) piggybacking over responses (as determined by Ack policy not represented here), or (b) AckRequested messages, or (c) MakeConnectio n messages.	Underlying protocol binding and connection establishment Piggybacking	 For AckRequested: Underlying request (HTTP) For Acks: back-channel of underlying protocol (response to application message, or response to MakeConnection.) For AckRequested: can be piggybacked on application one-ways, or sent separately. For Acks: only SOAP responses of one-ways (empty SOAP body).
	Security	If the sequence is protected then acknowledgements must be secured per the rules in WS-RM sections 5 and 6.
	Error handling	WS-Addressing rules apply in handling faults.

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6 Reliable Request-Response (RRR)

263 6.1 <u>Description</u> 264 Scenario summary: Reliable asynchronous Two-way Exchange, NO use of anonymous endpoint: both endpoints are addressable. The initiator (requestor) is called the Client, the other endpoint the Service. 267 Use Case: A common use case is of a client that initiates a request to a service, for which a response is expected on a separate connection. The request

message is sent reliably. The service responds with a separate service invocation reliably carrying the response to the client. Both endpoints are addressable, and both decide to NOT make use of the underlying protocol back-channel for any response. Secure conversation may be used.

6.2 <u>Sequence Diagram</u>

The complete scenario includes the following exchanges. None of them uses the underlying protocol back-channel:

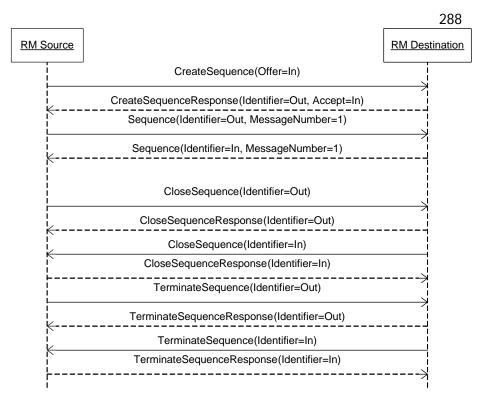
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- [optional] Secure Conversation Establishment and Cancelation
- Reliable Sequence establishment client-to-service (CS/CSR), with offered service-to-client sequence.
- Application reliable request client-to-service
- Application reliable response service-to-client
- Acknowledgement exchange client-to-service. (not shown)
- Acknowledgement exchange service-to-client. (not shown)
- [optional] Sequence Closing client-to-service.
- [optional] Sequence Closing service-to-client.
- Sequence Termination client-to-service.
- Sequence Termination service-to-client.

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6.3 <u>Scenario Constraints and Assumptions</u>

No addressing constraints for either client or service endpoints.

Assumptions:

• In this usage scenario, both client and service assume the other endpoint has a preference for issuing any responses to their request messages, as new requests over the underlying protocol.

Scenario Constraints:

No specific constraints in this scenario. Both endpoints are addressable.

Description:

 When WSDL is used then there will be either request-response operations or independent in and out messages defined. If WSDL is used then there must be no out messages defined.

6.4 Message Exchanges Details

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6.4.1 Sequence Lifecycle Messages

Scenario Message Exchange Unit(s)	Aspects of the Message Exchange Unit	Message Details
Client-service Sequence establishment (CS/CSR)	Addressing and correlation	 Wsa:ReplyTo: (on CS / CIS / TS) client endpoint reference Wsrm:Offer (on CS)
Client-service Sequence closing (optional) (CIS/CISR)		 Wsrm:Accept (on CSR) Wsa:RelatesTo: (expected on CSR / CISR / TSR, relates to request) Wsa:To
Client-service Sequence termination (TS/TSR)	Underlying protocol binding and connection establishment	 Two (HTTP) requests in opposite directions. Endpoints involved in exchange must be prepared for new HTTP connection
Service-client Seguence	Piggybacking	Not applicable.
Sequence closing (optional)	Security	Message level security: Optional following guidelines from WS-RM sections 5 and 6.
(CIS/CISR)Service-client Sequence termination (TS/TSR)	Error handling	WS-Addressing rules apply in handling faults.

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6.4.2 Sequence Traffic Messages

307 (Only varies from table in scenario 6 by ReplyTo value.)

Scenario Message Exchange Unit	Aspect of the Message Exchange Unit	Message Details
Application Addressing and correlation A One-way, request, or	Ü	 wsa:ReplyTo: client endpoint reference wsa:RelatesTo: For a response message, URI / message ID of the request.
response message	Underlying protocol binding and connection establishment	 Underlying request (HTTP) No application message on HTTP response, though possibly SOAP envelope with a Fault.

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Scenario Message Exchange Unit	Aspect of the Message Exchange Unit	Message Details
	piggybacking	Not applicable.
	Security	Message level security: Optional, RM headers must follow guidelines from WS-RM sections 5 and 6 if the sequence is protected.
	Error handling	WS-Addressing rules apply in handling faults.

308 *6.4.3 Acknowledgment Messages*

Scenario Message Exchange Unit	Aspect of the Message Exchange Unit	Message Details
ments from Service, driven by (a) spontaneous new requests as determined by Ack policy, or (b) in response to AckRequested messages • Acknowledge ments from Client, driven by either (a) spontaneous new requests as determined by Ack policy, or (b) in response to AckRequested Correlation Correlation Correlation Correlation Underlying protocol bi and connected establishment Piggybackin Piggybackin	Addressing and correlation	 AcksTo (for sequence sent to Service): client endpoint reference, or other (NOT anonymous) AckRequested (for sequence sent to Service): sent with wsa:ReplyTo aligned with AcksTo element. AcksTo (for sequence sent to Client): service endpoint reference, or other (NOT anonymous) AckRequested (for sequence sent to Client): sent with wsa:ReplyTo aligned with AcksTo element.
	Underlying protocol binding and connection establishment Piggybacking	 For AckRequested: Underlying request (HTTP) For Acks: new request of underlying protocol For AckRequested: can be piggybacked on application one-ways, or sent separately. For Acks: possibly over SOAP requests containing application messages sent to
messages	Security Error handling	client endpoint. If the sequence is protected then acknowledgements must be secured per the rules in WS-RM sections 5 and 6. WS-Addressing rules apply in handling faults.

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7 Reliable Request-Response, anonymous client (RRR-anon)

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7.1 <u>Description</u>

- Scenario summary: Reliable asynchronous Two-way Exchange, with one anonymous endpoint (or behaving as such). The initiator (requestor) is called the Client, the other endpoint the Service.
- Use Case: A common use case is of a client that initiates a request to a service, for which a response is expected on the same connection. The request message is sent reliably. The Service responds reliably on the back channel which carries the response to the client. Both endpoints may be addressable, but the Client for some reason has connectivity issues (e.g. firewall) and cannot receive incoming requests, therefore behaves as an anonymous endpoint. Any message from Service to Client will need to make use of the underlying protocol back channel created by a previous request. Secure conversation may be used.

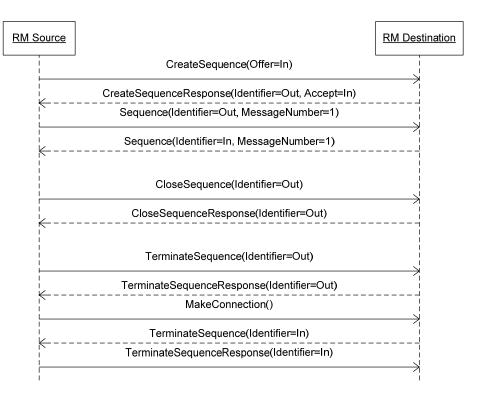
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7.2 <u>Sequence Diagram</u>

- The complete scenario includes the following exchanges. All communication must be initiated by the Client. All of the messages sent from the Client to the service are over new connections. All of the messages sent from the Service to Client use the underlying protocol back-channel of a previous request.
- [optional] Secure Conversation Establishment and Cancelation
- Reliable Sequence establishment client-to-service (CS/CSR), with offered service-to-client sequence (accepted if reliable responses).
- Application reliable request client-to-service (1 instance of One-way message)
- Application reliable response service-to-client (as response in 1 instance of Synchronous request-response exchange, or as response to MakeConnection)
- Acknowledgement exchange client-to-service.
- Acknowledgement exchange service-to-client (using back-channel).
- [optional] Sequence Closing client-to-service.
- [optional] Sequence Closing service-to-client (using back-channel).
- Sequence Termination client-to-service.
- Sequence Termination service-to-client (using back-channel).

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7.3 <u>Scenario Constraints and Assumptions</u>

No addressing constraints for either client or service endpoints.

Assumptions:

In this usage scenario, the client only is behaving as non-addressable.
 All transfers from Service to Client use the back-channel of underlying protocol.

Scenario Constraints:

 Both endpoints may be addressable, but the Client may have connectivity issues that makes it behave as non-addressable.

Description:

 When WSDL is used then there will be either request-response operations or independent in and out messages defined. If WSDL is used then there must be no out messages defined.

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7.4 Message Exchanges Details

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7.4.1 Sequence Lifecycle Messages

359 The difference from the RRR usage scenario is that the Client's ReplyTo is anonymous.

Scenario Message Exchange Unit(s)	Aspects of the Message Exchange Unit	Message Details
Client-service Sequence establishment (CS/CSR)	Addressing and correlation	 wsa:ReplyTo (from Client): (on CS / CIS / TS) anonymous wsrm:Offer (on CS from Client)
 Client-service Sequence closing (optional) (CIS/CISR) 		 wsrm:Accept (on CSR to Client) wsa:RelatesTo: (expected on CSR / CISR / TSR, relates to their request messages) wsa:To
 Client-service Sequence termination (TS/TSR) Service-client Sequence closing 	Underlying protocol binding and connection establishment	 For Client-service exchanges: a single (HTTP) request-response. For Service-client exchanges: the CIS / TS message is over an HTTP response, back-channel offered by MakeConnection. The CISR / TSR message is over an HTTP request.
(optional) (CIS/CISR)	Piggybacking	Not applicable.
Service-client	Security	Message level security: Optional following guidelines from WS-RM sections 5 and 6.
Sequence termination (TS/TSR)	Error handling	WS-Addressing rules apply in handling faults.

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361 7.4.2 Sequence Traffic Messages

Scenario Message Exchange Unit	Aspect of the Message Exchange Unit	Message Details
Application Message request:	Addressing and correlation	wsa:ReplyTo (in Client request): anonymous
A One-way message or a response of a		 wsa:RelatesTo: For a response message, URI / message ID of the request.

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Scenario Message Exchange Unit	Aspect of the Message Exchange Unit	Message Details
Synchronous request-response exchange from Client (unrelated to the initial request), or as response to MakeConnection	Underlying protocol binding and connection establishment	 Underlying request (HTTP) No application message on HTTP response to the Request, though possibly SOAP envelope with a Fault. Service to client messages over an HTTP response, back-channel offered by MakeConnection (or in case of variant, reuse of back-channel of any other subsequent request)
	Piggybacking	Possible piggybacking of RM headers or other headers on this message.
	Security	Message level security: Optional, RM headers must follow guidelines from WS-RM sections 5 and 6 if the sequence is protected.
	Error handling	WS-Addressing rules apply in handling faults.

363 *7.4.3 Acknowledgment Messages*

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The difference from the RRR Usage scenario is that the Client's AcksTo EPR is anonymous.

Scenario Message Exchange Unit	Aspect of the Message Exchange Unit	Manifestation / Control
 Acknowledge ments from Service, driven by (a) piggybacking over responses 	Addressing and correlation	 AcksTo (for sequence sent to Service): anonymous AcksTo (for sequence sent to Client): service endpoint reference, or other (NOT anonymous)
(as determined by	Underlying protocol binding	 For AckRequested (from Client): Underlying request (HTTP)
Ack policy not represented here), or (b) in	and connection establishment	For Acks (from Service): response of underlying protocol (HTTP)
response to AckRequested		 For AckRequested (from Service): Underlying response (HTTP).
messages, or (c) in response to		For Acks (from Client): new request of underlying protocol (HTTP)

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Scenario Message Exchange Unit	Aspect of the Message Exchange Unit	Manifestation / Control
MakeConnection n message. • Acknowledge ments from Client, driven by either (e)	Piggybacking	 For AckRequested or Acks from Client: can be piggybacked on application one-ways. For AckRequested or Acks from Service: can be piggybacked on application responses.
by either (a) spontaneous new requests as determined	Security	If the sequence is protected then acknowledgements must be secured per the rules in WS-RM sections 5 and 6.
by Ack policy, or (b) in new request as response to AckRequested messages	Error handling	WS-Addressing rules apply in handling faults.

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8 MakeConnection Protocol

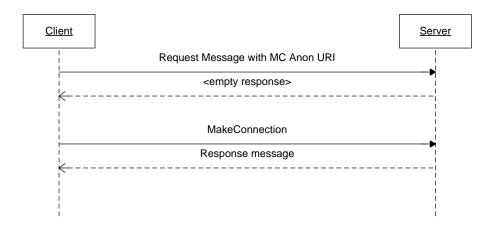
Every scenario in this document that includes an Endpoint Reference as part of the message exchange may use the MakeConnection Anonymous URI as the [address] property of that EPR. The use of the MakeConnection Protocol to establish a transport-specific back-channel to allow a message targeted to one of these EPRs to be sent will be done according to the following sub-scenario.

8.1 Use of the MC Anonymous URI

An endpoint wishing to use the MakeConnection protocol to receive messages from another endpoint first needs to provide the other endpoint (the endpoint sending messages) with an EPR that includes the MC anonymous URI. This is no different than how any other EPR is provided. For example, in a traditional request-response message exchange, the wsa:ReplyTo EPR is used to specify the destination EPR for responses. The client indicates its intention to use the MakeConnection protocol for the delivery of those responses by using the MC anonymous URI in the [address] property of the wsa:ReplyTo EPR.

Once the service receives the request, it can send the response on the back-channel of the original connection. If, however, the service chooses to not send a response on the transport-specific back-channel of the request message then the client uses the MakeConnection message to create a new connection to establish a new back-channel. The service can then use this new back-channel to send the expected response.

The overall flow would be:



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9 Reliable, Secure Conversation Establishment and Cancellation

Scenarios section 4 through section 7 in this document may include additional exchanges for establishing and canceling a secure conversation. The establishment and cancellation of secure conversations will be done according to the following sub-scenarios.

9.1 RequestSecurityToken, CreateSequence (RST-CS)

A reliable sequence is assumed to be transferred from start to end within a single secure conversation. The conversation is started with the intent of securing this sequence. The conversation may include more than one sequence.

This sub-scenario assumes that the STS / RM Destination is addressable.

Client sends RST (RequestSecurityToken) to the Service endpoint's STS to establish SecurityContextToken. Service endpoint responds with RSTR and new SecurityContextToken.

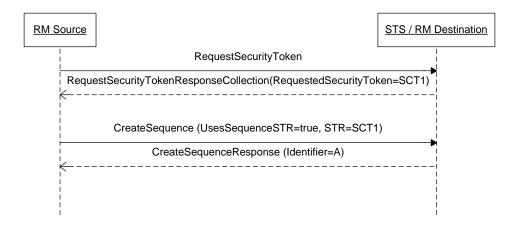


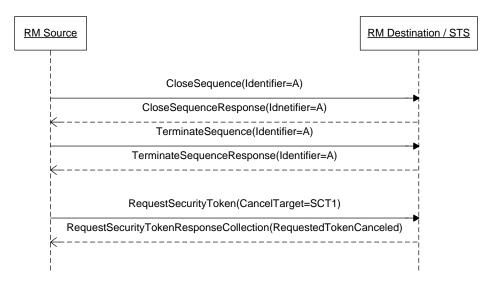
Figure 3 - SCT Establishment

9.2 <u>TerminateSequence, Cancel (TS-Cancel)</u>

In this sub-scenario, the secure conversation was established for an RM sequence. This sub-scenario assumes that the STS / RM Destination is addressable.

The secure conversation that includes a reliable sequence will be cancelled after the sequence is terminated. Client sends RST (RequestSecurityToken) with a CancelTarget element identifying the SecurityContextToken of the conversation to be terminated. Service endpoint responds with RSTRC confirming the cancellation.

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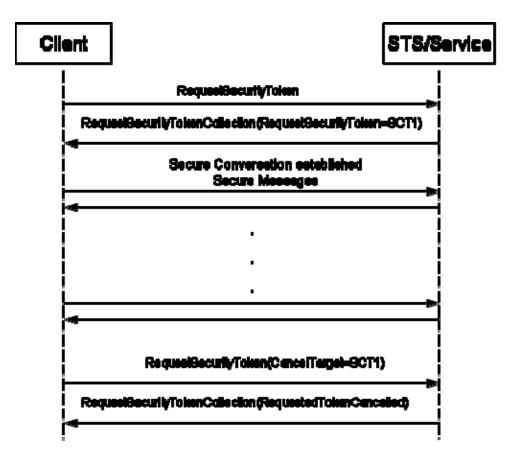
419 Figure 4 - SCT Cancellation

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420 10 Secure Request-Response (SRR) 421 422 10.1 <u>Description</u> 423 Scenario summary: Secure Two-way Exchange, with NO use of anonymous 424 endpoint: both endpoints are addressable. The initiator (requestor) is called the Client, the other endpoint the Service. 425 426 Use Case: A common use case is of a client that initiates series of requests to a 427 service, for which a series responses are expected on a separate connection. 428 The request message is sent securely. The Service responds securely on the 429 separate service invocation which carries the response to the client. Both 430 endpoints are addressable and both decide to NOT make use of the underlying 431 protocol back channel created by a previous request. 432 Since the client will be sending a series of secure requests to the service, 433 434 secure conversation is required for performance reasons since it uses less 435 expensive symmetric key operations and improves security, by reducing the 436 exposure of the long term secret 437 438 10.2 <u>Sequence Diagram</u> 439 440 The complete scenario includes the following exchanges. All of the messages 441 sent from the Client to the service are over new connections. All of the 442 messages sent from the Service to Client are over new connections. 443 Client issues a Request Security Token 444 Secure Token Service issues Request Security Token Response 445 Secure Conversation Establishment and Cancellation

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10.3 Scenario Constraints and Assumptions

No addressing constraints for either client or service endpoints.

Assumptions:

• In this usage scenario, both client and service assume the other endpoint has a preference for issuing any responses to their request messages, as new requests over the underlying protocol.

Client successfully obtains an SCT from the STS

Scenario Constraints:

• No specific constraints in this scenario. Both endpoints are addressable.

10.4 Message Exchanges Details

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462 10.4.1 Secure Conversation Lifecycle Messages

Scenario Message Exchange Unit(s)	Aspects of the Message Exchange Unit	Message Details
 Client-service Secure Conversation establishment (RST/RSTR) Client-service Secure Conversation closing (RST-CancelTarget/RSTRC) 	Addressing and correlation Underlying protocol binding and connection establishment	 wsa:ReplyTo (from Client): (on RST / RSTR) client endpoint reference Wsa:RelatesTo: (expected on RST / RSTR , relates to request) Wsa:To Two (HTTP) requests in opposite directions. Endpoints involved in exchange must be prepared for new HTTP connection
	Security	Message level security: Secure Conversation
	Error handling	WS-Addressing rules apply in handling faults.

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464 10.4.2 Application Traffic Messages

Scenario Message Exchange Unit	Aspect of the Message Exchange Unit	Message Details
Application Message request: A One-way message or response message	Addressing and correlation	 wsa:ReplyTo : client endpoint reference wsa:RelatesTo: For a response message, URI / message ID of the request.
	Underlying protocol binding and connection establishment	 Underlying request (HTTP) No application message on HTTP response, though possibly SOAP envelope with a Fault.
	Security	Message level security: Secure Conversation
	Error handling	WS-Addressing rules apply in handling faults.

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11 Secure Request-Response, anonymous client (SRR-anon)

11.1 Description

Scenario summary: Secure Two-way Exchange, with one anonymous endpoint (or behaving as such). The initiator (requestor) is called the Client, the other endpoint the Service.

Use Case: A common use case is of a client that initiates series of requests to a service, for which a series responses are expected on the same connection. The request message is sent securely. The Service responds securely on the back channel which carries the response to the client. Both endpoints may be addressable, but the Client for some reason has connectivity issues (e.g. firewall) and cannot receive incoming requests, therefore behaves as an anonymous endpoint. Any message from Service to Client will need to make use of the underlying protocol back channel created by a previous request.

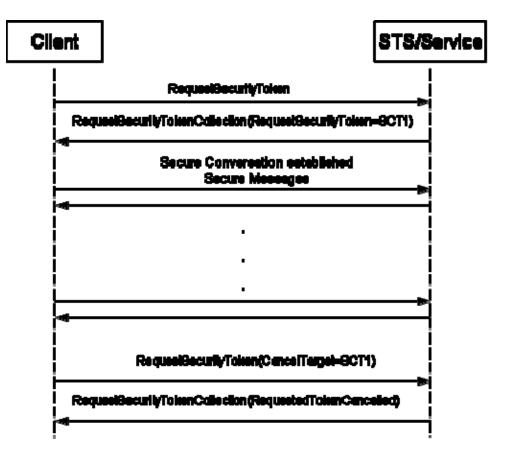
Since the client will be sending a series of secure requests to the service, secure conversation is required for performance reasons since it uses less expensive symmetric key operations and improves security, by reducing the exposure of the long term secret

11.2 <u>Sequence Diagram</u>

The complete scenario includes the following exchanges. All communication must be initiated by the Client. All of the messages sent from the Client to the service are over new connections. All of the messages sent from the Service to Client use the underlying protocol back-channel of a previous request.

- Client issues a Request Security Token
- Secure Token Service issues Request Security Token Response
- Secure Conversation Establishment and Cancellation

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11.3 Scenario Constraints and Assumptions

No addressing constraints for either client or service endpoints.

Assumptions:

- In this usage scenario, the client only is behaving as non-addressable. All transfers from Service to Client use the back-channel of underlying protocol.
- Client successfully obtains an SCT from the STS

Scenario Constraints:

• Both endpoints may be addressable, but the Client may have connectivity issues that make it behave as non-addressable.

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11.4.1 Secure Conversation Lifecycle Messages

The difference from the RRR usage scenario is that the Client's ReplyTo is anonymous.

Scenario Message Exchange Unit(s)	Aspects of the Message Exchange Unit	Message Details
 Client-service Secure Conversation establishment (RST/RSTR) Client-service Secure Conversation closing (RST-CancelTarget/RSTRC) 	Addressing and correlation Underlying protocol binding and connection establishment	 wsa:ReplyTo (from Client): (on RST / RSTR) anonymous For Client-service exchanges: a single (HTTP) request-response. For Service-client exchanges: the RSTR message is over an HTTP response, back-channel offered by MakeConnection if the original connection does not contain the response. Thus, a new back channel must be created. The RSTR message is over an HTTP response.
	Security Error handling	Message level security: Secure Conversation WS-Addressing rules apply in handling faults.

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516 *11.4.2 Application Traffic Messages*

Scenario Message Exchange Unit	Aspect of the Message Exchange Unit	Message Details
Application Message request: A One-way message or a response of a Synchronous request- response exchange from Client (unrelated to the initial request), or as	Addressing and correlation Underlying protocol binding and connection establishment	 wsa:ReplyTo (in Client request): anonymous wsa:RelatesTo: For a response message, URI / message ID of the request. Underlying request (HTTP) No application message on HTTP response to the Request, though possibly SOAP envelope with a Fault. Service to client messages over an HTTP response, back-channel

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Scenario Message Exchange Unit	Aspect of the Message Exchange Unit	Message Details
response to MakeConnection	Security	Message level security: Secure Conversation
	Error handling	WS-Addressing rules apply in handling faults.

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12 Revision History

519

Rev	Date	By Whom	What
0.1	2006-09-1	Jacques Durand	Initial draft.
0.2	2006-09-12	Marc Goodner and Jacques Durand	Review / various edits,
0.3	2006-09-28	Jacques Durand	Updated scenario 1 (-> ROW-anon), added ROW-addressed, RA2W-addressed, RS2W-all.
0.4	2006-09-30	Marc Goodner & Jacques Durand	Added flow diagrams, for SecureConversation exchanges and for ROW scenario.
0.5	2006-10-13	Jacques Durand	Various edits, Added RA2W-1anon scenario after discussion with Marc.
0.6	2006-10-30	Marc Goodner	Edits from Plenary discussion.
0.7	2007-03-28	Doug Daivs	Added MC scenario
0.8	2007-04-6	Charles Le Vay	Added SC stand-alone scenarios
0.9	2008-09-26	Ram Jeyaraman	Fixed the arrow lines (responses over backchannel are shown with dotted lines) in the diagrams. Some editorial corrections.
1.0	2008-10-05	Ram Jeyaraman	Added MC to list of specs in Section 1.4. Modified the definition of "Anonymous client" and "Addressable request-response message exchange".

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